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Crush Your CASS Audit Goals!



Firms are facing time commitments and resources challenges. Treat each audit like a project and use our handy checklist to successfully prepare!

1 Allocate stakeholders to manage the auditors and streamline the audit trail process.

2 Organise a pre-meeting with auditors, prior to start of audit.

3 Confirm audit team is involving all relevant stakeholders from the start.

Checklist

- Who is the key contact point, liaising between your firm and auditor?
- What lessons were learnt? Reflect and suggest improvements.
- Gather all relevant information provided from previous year.
- Encourage communication amongst stakeholders – to align roles and timescales.
- Availability – what changed since last year? Any internal breaches? What about third-parties?

Anticipate, Prepare, Streamline!

BOOK ME IN!