

Ruleguard are proud sponsors of The CASS Academy

Escape CASS Complacency!



Why disrupt and create challenges and problems when things are smooth sailing? Prove your legacy and efforts when things are calm:

1 Rehearse and be ready - demonstrate to the FCA that you are still looking for risks and breaches.

2 Run a mock resolution event - especially when you're confident on current processes.

3 Change your auditor - don't be forced to change your auditor when you don't have capacity.

Checklist

- Focus your mind* - what can go wrong, where are the gaps, how will you prevent mistakes?
- What is the impact* - for you and your clients?
- Consumer duty* - how will you continue to deliver good outcomes and prevent harm?
- Design a visual heatmap* - what are the CASS risks with and without controls, how you are mitigating these risks?
- Support with data* - what breaches or near misses were recorded? What info did you provide to the regulators?

Rehearse, Mitigate, Pass!

BOOK ME IN!